

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

33204 7591 05/10/2005

VALENCE TECHNOLOGY, INC.

301 CONESTOGA WAY

05/13/2005 MGBREND HENDERSON NV 89015 10683643

01 FC:2501  
02 FC:1504700.00 DA  
300.00 DA

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Sharon Lango (Depositor's name)  
 Sharon Lango (Signature)  
 May 13, 2005 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/683,643	10/09/2003	Jeremy Barker	VT-2165	1205

TITLE OF INVENTION: METHODS OF MAKING LITHIUM METAL CATHODE ACTIVE MATERIALS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO YES	\$1400 \$700	\$300	\$1700 \$1000	08/10/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
JOHNSON, EDWARD M	1754	423-306000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Cynthia S. Kovacevic  
 Roger A. Williams  
 Michael D. Ross

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Valence Technology, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Henderson, Nevada

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 220100 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Roger A. Williams

Date May 13, 2005

Typed or printed name

Roger A. Williams

Registration No. 27,679

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FBES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application No.: 10/683,643

Filing Date: 10/09/2003

Resending

Inventor: Jeremy Barker

Title: Methods of Making Lithium Metal Cathode Active Materials

Attorney Docket: VT-2165

**CERTIFICATE OF FACSIMILE TRANSMISSION**

*I hereby certify that this correspondence is being facsimile transmitted to the Commissioner for Patents, Mail Stop ISSUE FEE (703) 746-4000 on this date May 13, 2005.*

*Sharon Lango*  
Sharon Lango

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

Enclosed for filing, please find the following document:

1. Form PTOL-85 – Part B-Fee(s) Transmittal
2. Certification of Facsimile Transmission
3. Entity Change form

Should there be any questions regarding this communication, please contact the undersigned at 702-558-1000. Thank you for your assistance in this matter.

Respectfully submitted,

Dated: May 13, 2005

*Roger A. Williams*  
Roger A. Williams  
Reg. No. 27,679  
Attorney for Applicant

VALENCE TECHNOLOGY, INC.  
301 Conestoga Way  
Henderson, Nevada 89015  
Telephone No.: (702) 558-1000  
Fax No.: (702) 558-1310



Please submit to:

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PO Box 1450  
Alexandria, VA 22313-1450

or by fax to: Status & Entity Branch, Office of Finance at 703-308-5077.

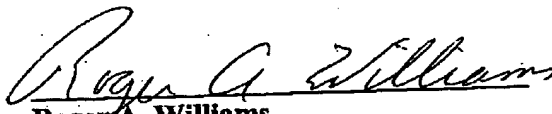
Mail Stop M Correspondence  
Director of the US Patent and Trademark Office  
PO Box 1450  
Alexandria, VA 22313-1450

Re: USA Application 10/683,643 ; Patent \_\_\_\_\_;  
VTI Ref. No.: VT-2165/DIV

Please note that the referenced patent qualifies as a Small entity.

Sincerely,

Dated: November 1, 2004

  
Roger A. Williams  
Valence Technology, Inc.  
301 Conestoga Way  
Henderson, NV 89015

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